Horizon Europe

THE NEXT EU RESEARCH & INNOVATION INVESTMENT PROGRAMME (2021 – 2027)

#HorizonEU

Horizon Europe implementation: Reporting and Communicating

Research and Innovation

Outline

- *Project reporting and monitoring*
- *Communication via the Funding & Tenders Portal*
- *Working with NCPs*

Reporting and monitoring

Programme & projects

In a nutshell:

- *Strong continuity with H2020, (with improvements where possible)…*
- *…while accommodating new features under Horizon Europe*
- *Capturing information and data from projects for programme monitoring, without over-burdening beneficiaries in their reporting obligations*

European Commission

Monitoring the Programme (draft Regulation)

Article 45: The Commission shall monitor: […]

- *Indicators on achievement of programme objectives, and KIPs*
- *information on the level of mainstreaming SSH*
- *the ratio between lower and higher TRLs in collaborative research,*
- *the progress on widening countries participation*
- *geographical composition of consortia in collaborative projects,*
- *evolution of researchers salaries,*
- *use of two stage submission and evaluation procedure,*
- *measures for collaborative links in European R&I*
- *the use of the evaluation review*
- *the level of climate mainstreaming and related expenditures,*
- *SME participation, private sector participation,*
- *gender participation*
- *Seals of Excellence,*
- *European Partnerships*
- *complementary and cumulative funding from other Union funds,*
- *research infrastructures,*
- *time-to-grant*
- *international cooperation,*
- *engagement of citizens' and civil society participation.*
- *expenditure disaggregated at project level in order to allow for specific analysis, including per intervention area.*
- *level of oversubscription*

Monitoring the Programme (draft Regulation)

- *[The Commission shall] ensure that data for monitoring programme implementation and results are collected **efficiently**, effectively and in a timely manner **without increasing the administrative burden for beneficiaries**.*

- *To that end**, proportionate reporting requirements shall be imposed on recipients of Union funds**, including at the level of researchers involved in the actions in order to be able to track their career and mobility, and (where relevant) Member States.*

- ***Qualitative analysis** from the Commission and Union or national funding bodies shall complement as much as possible quantitative data.*

European Commission

Project reporting - purposes

- **Monitor progress and delivery**
  - ✓ continuous task that can take place at any moment during the active period of the project (and beyond), but that it could be more relevant at certain periods in project's life, in particular after each reporting period at the time of payments.

- **Ex-ante control before payment**

- **Collection of information and data for programme monitoring**

- **Feedback to policy making**

Review meetings may be organised regularly, normally after each reporting period. External experts may assist.

European Commission

Project reporting in H2020

- **Continuous reporting**
  - ✓ Deliverables
  - ✓ Milestones (follow up)
  - ✓ Critical risks (follow up)

  Linked to the action and proposed by the applicants in the proposal (described in Annex I of the grant agreement)

  - ✓ Questionnaire for H2020 Key Performance indicators (predefined by the Programme)

- **Periodic and Final Reports**
  - ✓ Periodic technical report
  - ✓ Periodic financial report

  Following predefined template (pdf) and web-based forms

European Commission

# Novelties for Horizon Europe - Reporting

- Key Impact Pathways (KIPs)
- Some reporting requirements after project end
- Stronger focus on dissemination and exploitation
- Linking with existing data sources wherever possible

European Commission

Continuity with H2020

- Where possible, **ex-ante controls will be targeted** to riskier transactions and beneficiaries, rather than being performed systematically.

- Such a system is more **flexible and adaptable** to specific risks, will **reduce the administrative burden** on beneficiaries, and will also cost less.

European Commission

Results of web-consultation – Data & Reporting

5.1. Which parts of the Horizon 2020 reporting templates and guidance require improvements? (rank your top 3 answers by order of preference)
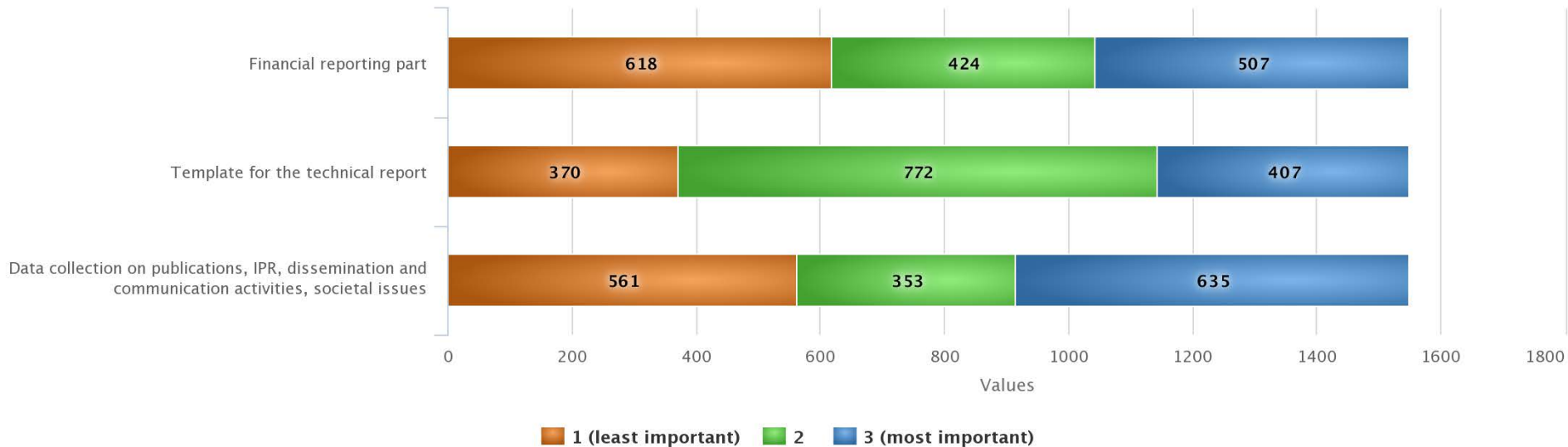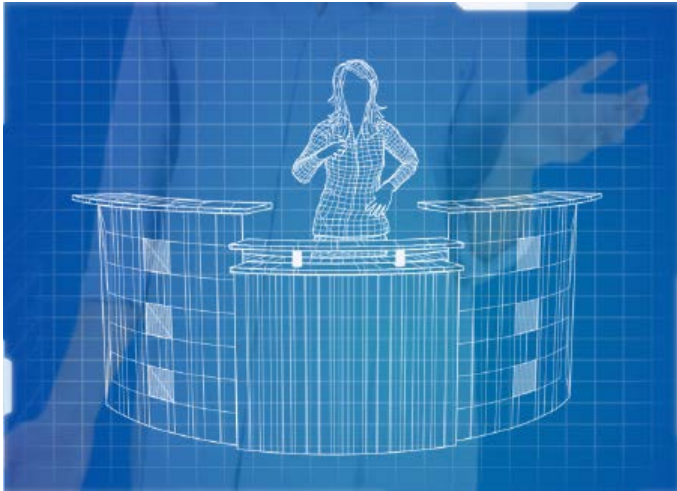

- Mixed opinions: About the same percentage of answers believe the reporting template needs improvements, as those that do not consider improvements as priority.

Communication via the Portal
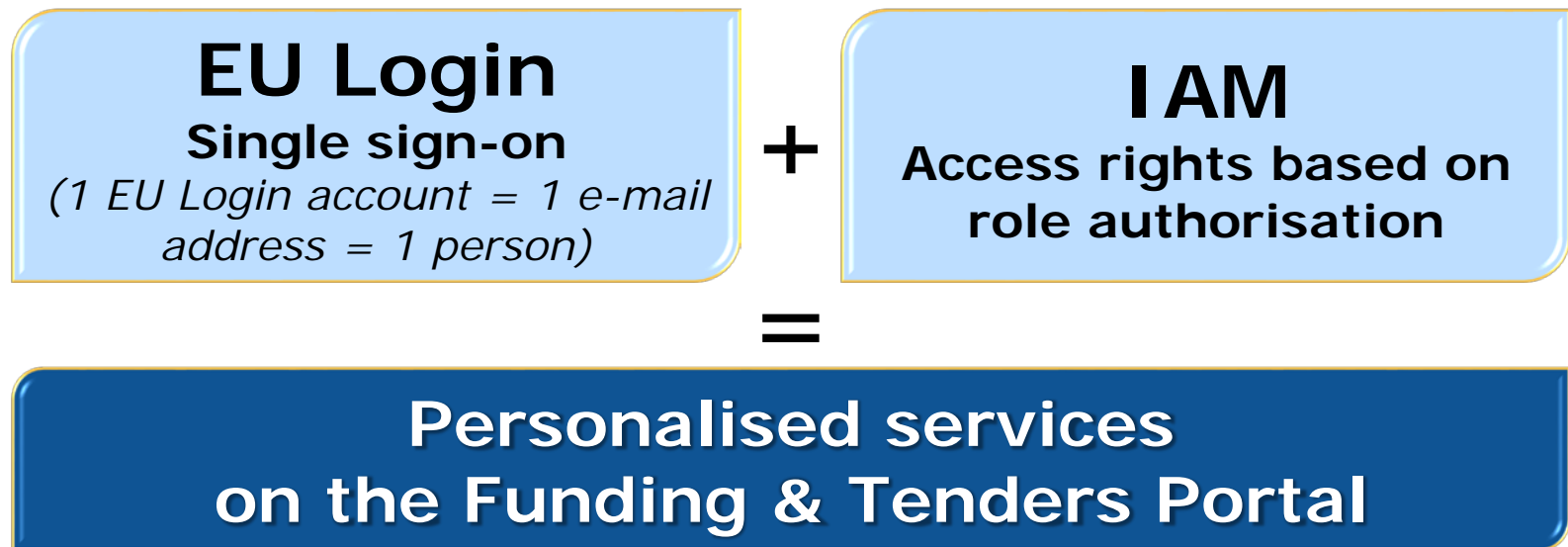
Communication via the portal

The new Funding and Tenders portal replaced the old Participant Portal in September 2018

- *The portal offers a real **one-stop-shop**, not only for finding opportunities but also for the daily projects' interactions with the EU.*

- *It allows searching for **EU funding** and **tender opportunities**, and **managing grants** and **contracts** in one place.*

European Commission

Funding & Tenders Portal: principles

- *Single gateway for all exchanges, based on:*

EU Login
Single sign-on
(1 EU Login account = 1 e-mail address = 1 person)

+

IAM
Access rights based on role authorisation

=

Personalised services on the Funding & Tenders Portal

- *Brings homogeneity, transparency and better service integration for grant management.*

European Commission

Funding & Tenders Portal – Latest developments

- 2nd Factor Identification

- LEAR access to projects & proposals

- New roles linked to Audits

- My Audit: new section available under My Area

- Expert Profile Delegation

- e-Annoted Grant Agreement (testing phase)

Funding & Tenders Portal – Next steps
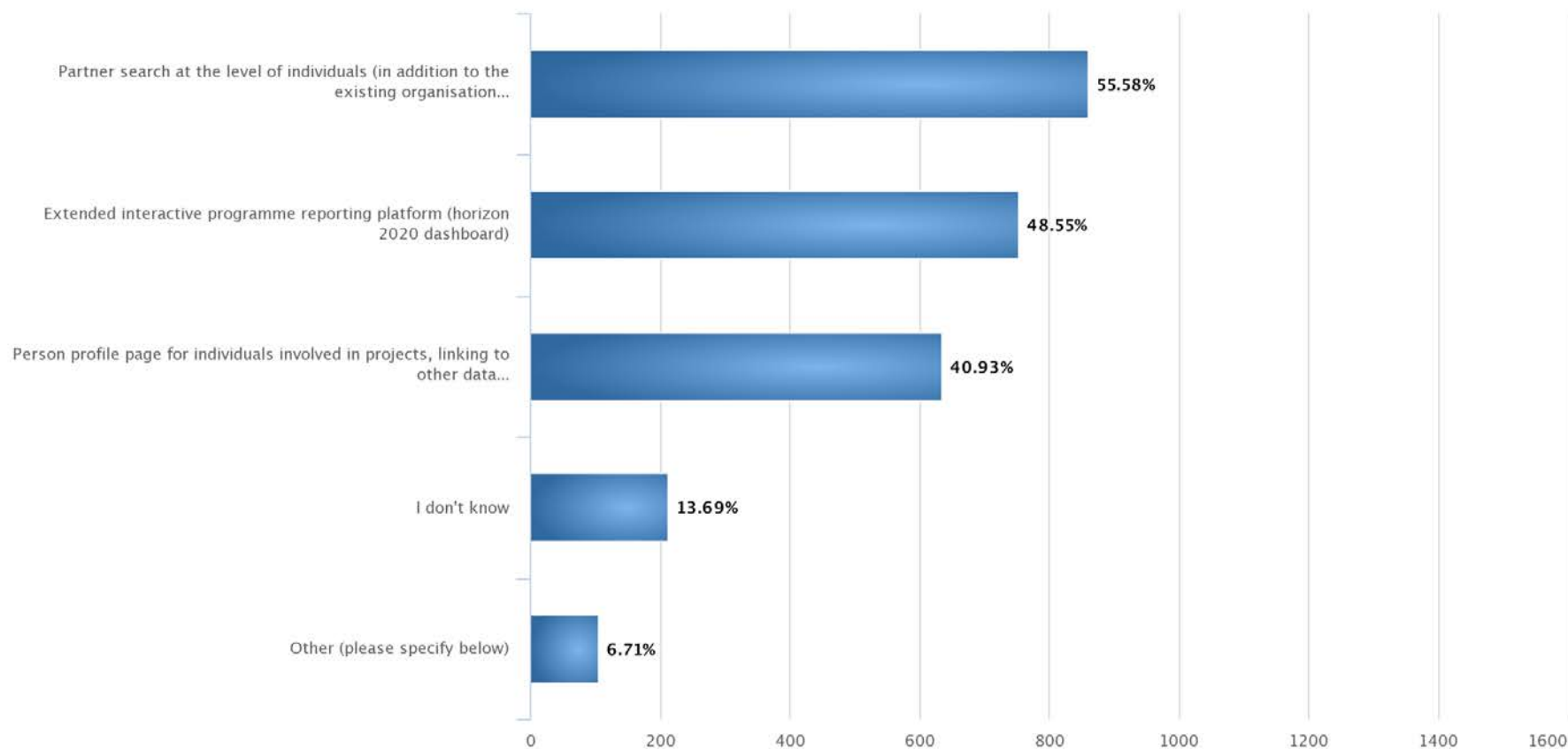
Continues to evolve…

- **New functionalities** may be implemented (i.e. Personal Profile, Funded projects on topic page)
- Further improvements of **visual layout** and user support
- Continuing further integration of **e-procurement** on the Portal
- **New programmes** will come on board
- **Multilingualism** – for some parts of the Portal/Programmes

Funding & Tenders Portal
https://www.youtube.com/watch?v=z5URvbgsYOQ

Results of consultation – Funding & Tenders Portal

9.2. Which additional features of the Funding & Tenders Portal would you find useful?

Feature	Percentage
Partner search at the level of individuals (in addition to the existing organisation...)	55.58%
Extended interactive programme reporting platform (horizon 2020 dashboard)	48.55%
Person profile page for individuals involved in projects, linking to other data...	40.93%
I don't know	13.69%
Other (please specify below)	6.71%

- The majority of the respondents consider that a partner search at the level of individuals is the most useful additional feature to the Funding & Tenders Portal
- Although the sample from Hungary is small, the trend is similar.

European Commission

Results of consultation – Funding & Tenders Portal

9.3. How can we improve the functions of the Funding & Tenders Portal?
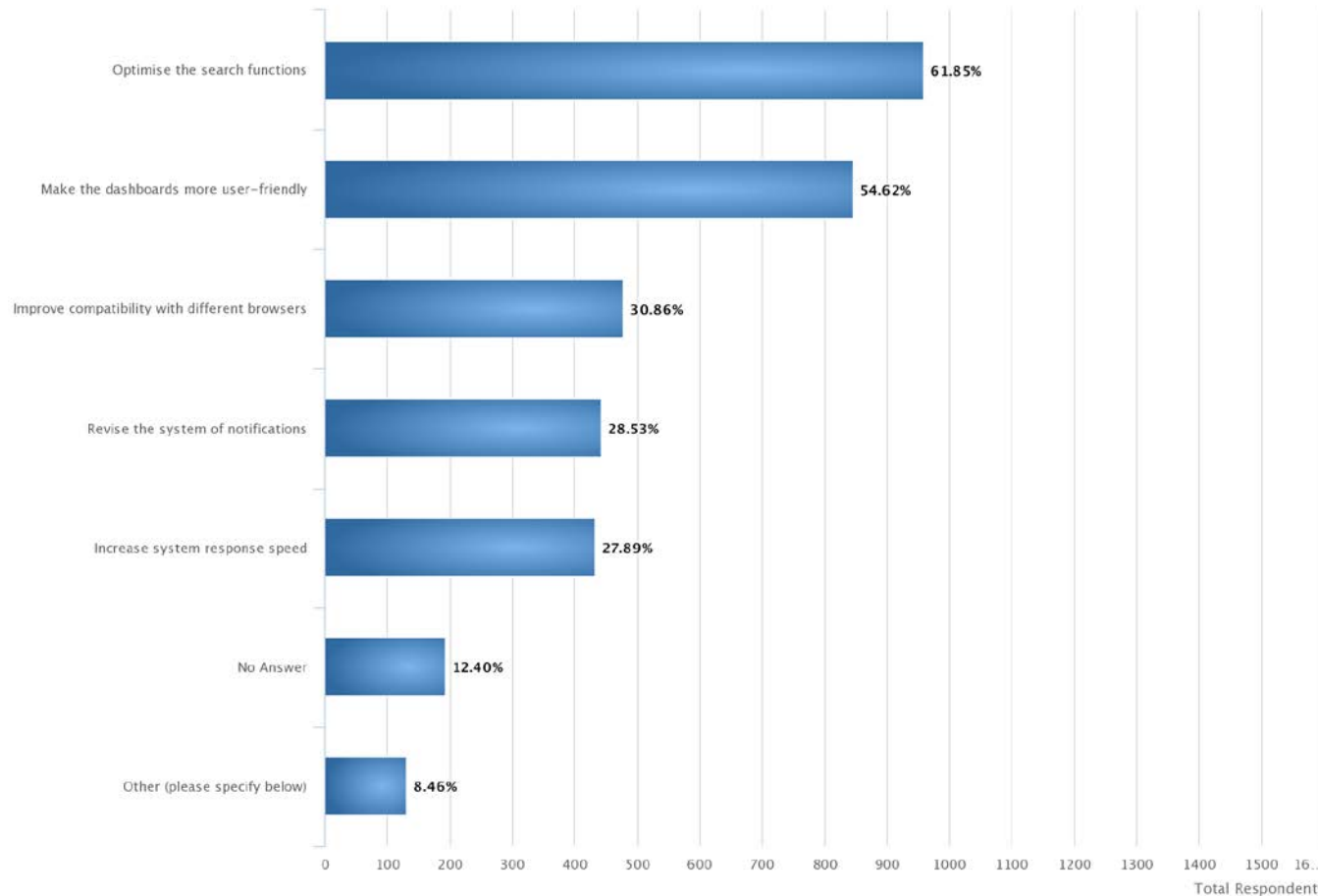
- The majority of the respondents consider that optimizing the search function is the most useful improvement to the Funding & Tenders portal

Working with NCPs

Working with NCPs

From the consultation: the majority of the 1549 respondents (72%) interact with their National Contact Points during the course of H2020.

- NCPs remain an **essential component** of Programme implementation in Horizon Europe
- **Continuity** in HE but adapted to lessons learnt and new features of HE.
- NCPs remain **national structures** established and financed by governments; EC ensures **coordination** (including possible funding for transnational cooperation).
- The **NCP structure** in relation to Programme parts, and revised minimum standards ('Code of conduct'): under discussion with MS representatives.
- Transition from H2020 to HE: Call open in 2020
    - ✓ NSUP-1-2020: Fostering transnational cooperation between national support structures (e.g. National Contact Points): ensuring a transition between Horizon 2020 and Horizon Europe
- New (Widening): there will be measures to help **NCPs in widening countries**. Working modalities are under discussion.

Thank you!

#HorizonEU

http://ec.europa.eu/horizon-europe

European Commission