

IMI2 Application – Practical Guide

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A single set of rules



etc.



➤ **Covering all H2020 research and innovation actions**

➤ **Adaptability where needed:**

- **Entities eligible for funding**
- **IP**

- I. Participation rules**
- II. Funding rules**
- III. Intellectual Property rules**
- IV. Increase chances for participation**

Participation Rules

Attracting stakeholders

- **At least 3 legal entity carrying out work relevant to the IMI2 objectives, each established in a different Member State or Associated Country**
- **Anyone else**
 - when foreseen in the annual work plan / Calls documents
 - with the agreement of the IMI JU

BUT

Not all participating entities are eligible for funding

More entities eligible for funding

- Academic institutions
- Research organisations
- Small & medium-sized enterprises (SMEs)
- NEW Mid-sized enterprises ($\leq 500M$)
- Non-profit patient organisations
- Non-profit public bodies and intergovernmental organisations including specialised agencies


NEW established in a Member State / associated country

Funding rules

A more attractive funding model

One Project = One Rate for all beneficiaries

example: 100% for research and innovation project

 Innovative Medicines Initiative	<i>Majority of beneficiaries</i>	Direct costs	Indirect costs	Total costs	% EU contribution	EU contribution
75/20% or actual		100	20	120	75%	€ 90

HORIZON 2020	Direct costs	Indirect costs	Total costs	% IMI2 contribution	IMI2 contribution
100/25%	100	25	125	100%	€ 125

Considering your accounting and management principles

- **Personnel**
 - Wider acceptance of average personnel costs
 - Acceptance of supplementary payments
for non-profit organisations of up to 8000 euros / year / person
 - Less requirements for time records
- **Equipment, consumables, travels...**
- **Subcontracting**

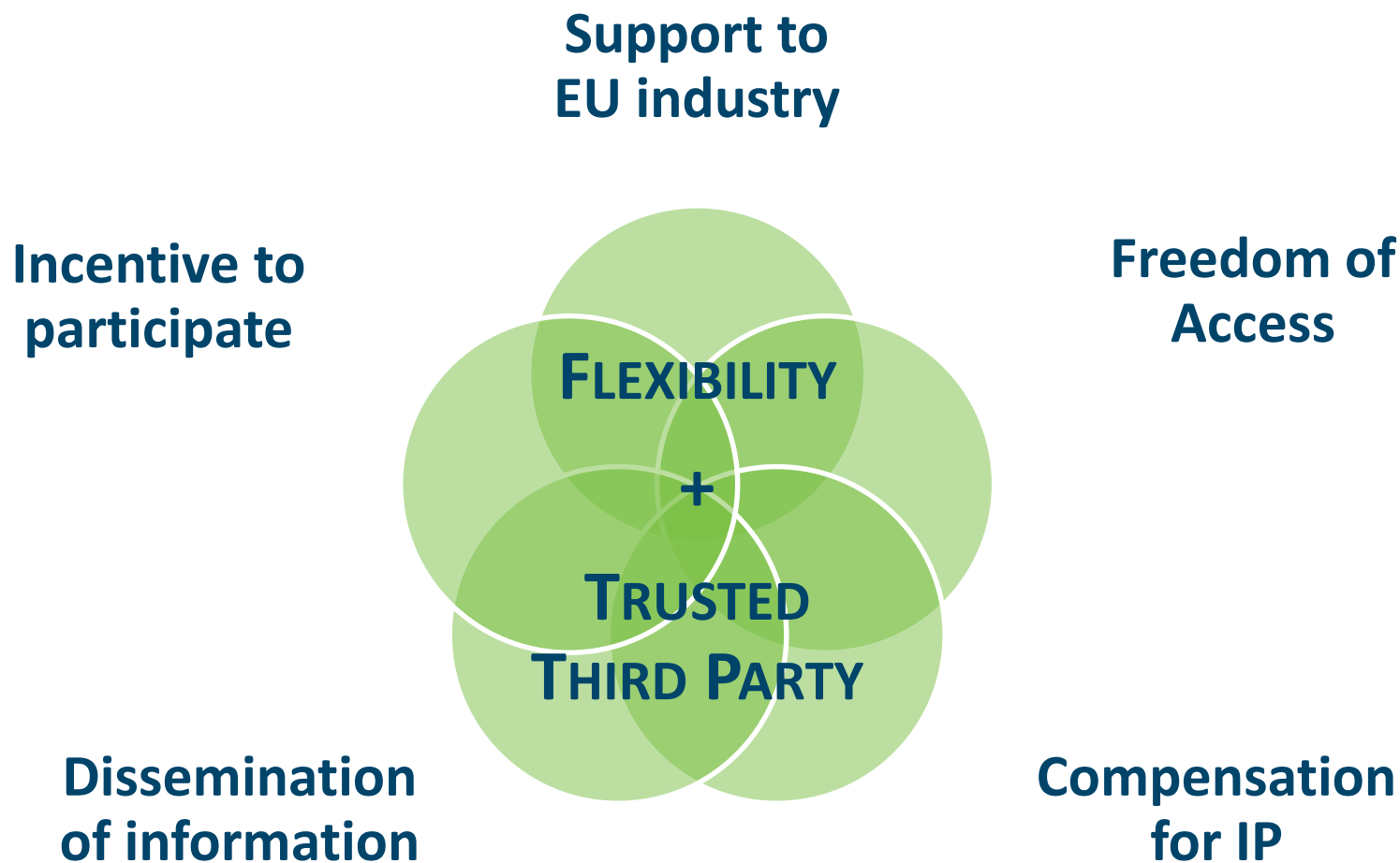
EFPIA and Associated Partners contribution

- **EFPIA companies**
- **Other industries and partners (= Associated Partners to IMI2)**
 - ✓ In-kind (actual direct and indirect costs or average FTE) + cash contributions
 - ✓ Based on the usual management principles and accounting practices
 - ✓ Contributions from affiliated entities as part of in-kind

When relevant to IMI2 objectives: up to 30% non-EU in-kind contribution

Intellectual Property Rules

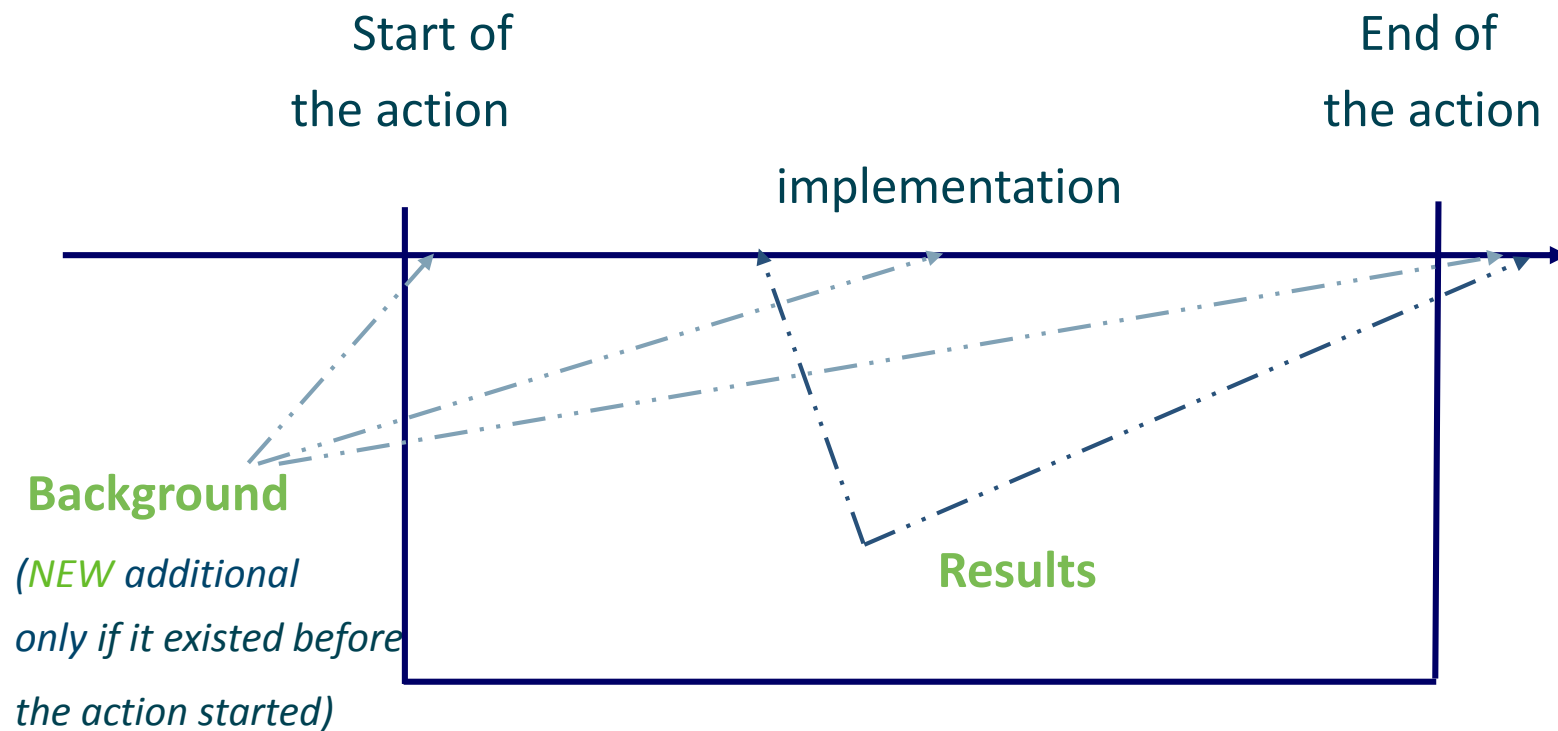
One set of rules for multiple interests



Consortium agreement

- Contractual arrangement **between all participants** to set out their rights and obligations, especially governance, liability and IPR
- Shall comply with the IMI2 model Grant Agreement
- Before the signature of the grant agreement with the IMI Office
- **To be adapted to the specific needs of each IMI action!**

Background vs. Results



// Sideground //

(generated during the action but outside its objectives and not needed for implementation or Research Use)

Based on IMI1

Results belong to the beneficiary who generated them

Possible transfer of ownership
within the consortium / to affiliates and
subcontractors without prior notification
Joint ownership regime

Protection of results

Mandatory for beneficiaries eligible for funding *NEW*

Common practice (IMI1)

lies with the owner(s) in adequate and effective manner -> relevant (national) legal provisions, action peculiarities, legitimate interests

if valuable results left unprotected -> to be discussed within the consortium

Access Rights conditions

Access rights granted by a beneficiary to/on	Background (necessary and identified)	Results	Sideground
Beneficiaries for completion of the action	Royalty-free	Royalty-free	N.A.
Beneficiaries and affiliates for Research Use	Fair & reasonable terms for background needed for using the results	Fair & reasonable terms	N.A.
Third Parties for Research Use after the action	Fair & reasonable terms for background needed for using the results	Fair & reasonable terms	N.A.
Beneficiaries and affiliates or Third Parties for Direct Exploitation	To be negotiated	To be negotiated	N.A.

Based on IMI1

Research Use vs. Exploitation

- **Research Use**
 - Use of results or background necessary to use the results for all purposes other than for completing the action or for direct exploitation

Based on IMI1

- **Direct exploitation**
 - to develop for commercialisation or to commercialise the results

Each beneficiary has the obligation to disseminate its own results

As soon as reasonably practicable

NEW for publications: Open access is mandatory

Mandatory mention to IMI support & EFPIA in-kind contribution in patent applications / all communications

Increase chances for participation

Partner Search Tool



Innovative Medicines Initiative

Welcome x Partner Search | IMI - x
www.imi.europa.eu/content/partner-search



Innovative Medicines Initiative



Contact Newsletter Links

Home

- About IMI
- Ongoing projects
- Calls for proposals
- News, Events & Media
- Reference documents

LATEST NEWS

11/07/2014 : RT @EFPIA:
Friday means a FRESH EFPIA
Newsletter! Highlight of the
week @IMI_JU
@innovationunion #horizon2020
#JTI Calls2014 READ <http://t.c...>

10/07/2014 : RT
@MichelGoldman: @IMI_JU
future: we are very proud to
welcome @JDRF to co-drive the
type1 #diabetes topic of
IMI2: #patients will be at the...

UPCOMING EVENTS

- 30/09/2014 - IMI 2 Open Info Day 2014** IMI will hold an Open Info Day on its IMI 2-Call 1 at the...

Partner search

IMI provides an online **partner search tool** for people, organisations and enterprises interested in participating in future IMI projects.

The tool facilitates the search for potential partners for an Expression of Interest in response to an IMI Call for proposals.

- The Partner Search Tool is accessible through [this link](#)
- Full lists of **key words** has been included for the **IMI 2 Call 1 Call** for proposals.
- The Partner Search Tool was improved, updated and moved to a new location in June 2012. If you were already registered in the previous version of the tool, your profile is automatically included in the new tool, but you will need to **reset your password**, by going to 'Login with an existing account' on the login page.
- People who used the partner search tool for IMI's previous Calls for proposals are advised to update their profiles to reflect the new topics that they are interested in.

If you have any difficulties using the partner search tool, contact [pst\[AT\]imi.europa.eu](mailto:pst[AT]imi.europa.eu)

www.imi.europa.eu/content/partner-search

Writing a successful proposal

- **Read all the Call-relevant material that is provided on the IMI website – www.imi.europa.eu**
- Understand **IMI2 Rules** and respect them
- **If in doubt, ask** a member of the Programme Office
- Your proposal should provide **reviewers** with all the information requested to allow them to evaluate it
- Start working early (pre-materials available before the Call launch)
- **Finalise your submission**

A single set of evaluation criteria

STANDARD AWARD CRITERIA

EXCELLENCE

IMPACT

QUALITY &
EFFICIENCY
OF THE ACTION

- Two stages evaluation: only “Excellence” and “Impact” considered at stage 1
- Thresholds and weighting in the Call documents
- Minimum of 3 independent experts (possibility of 2 in a 2-stages process)

NEW Each proposal evaluated 'as it is',
not as 'what could be'

Submitting a proposal



The screenshot shows the IMI Sofia Login page. The browser address bar is highlighted with an orange box, showing the URL: <https://sofia.imi.europa.eu/Pages/Login.aspx>. The page features the IMI logo and a banner image of people in a laboratory. A 'Request Access' button is highlighted with an orange box. Below the banner, there is a 'LOG IN' section with a form for E-mail and Password. A 'Log In' button is at the bottom of the form. A 'Forgot your password?' link is highlighted with an orange box. At the bottom of the page, there is a link to the 'Specific Privacy Statement'.

LOG IN

Please enter your e-mail and password.

E-mail:

Password:

☐ Keep me logged in

[Forgot your password?](#)

[Specific Privacy Statement](#) | SOFIA: Submission OF Information Application, Copyright © 2013 IMI.



The screenshot shows the Research & Innovation Participant Portal. The header includes the European Commission logo and the text 'RESEARCH & INNOVATION Participant Portal'. Below the header, there is a navigation bar with links: HOME, FUNDING OPPORTUNITIES, HOW TO PARTICIPATE, EXPERTS, and SUPPORT. A 'LOGIN' button and a 'REGISTER' button are also present. The main content area is titled 'Welcome to the Research and Innovation Participant Portal'. It contains two sections: 'About the Participant Portal:' and 'Using the Participant Portal:'. The 'About' section describes the portal as an entry point for EU-funded research and innovation projects. The 'Using' section provides instructions for guest and registered users. At the bottom, there is a row of six icons representing different portal features: WHATS NEW, FUNDING OPPORTUNITIES, HOW TO PARTICIPATE?, WORK AS AN EXPERT, ACCESS MY PERSONAL AREA, and INFORMATION AND SUPPORT.

RESEARCH & INNOVATION
Participant Portal

European Commission > Research & Innovation > Participant Portal > Home

[HOME](#) [FUNDING OPPORTUNITIES](#) [HOW TO PARTICIPATE](#) [EXPERTS](#) [SUPPORT](#)

Welcome to the Research and Innovation Participant Portal

About the Participant Portal:

The Participant Portal is your entry point for the electronic administration of EU-funded research and innovation projects. It hosts services for managing proposals and projects throughout their lifecycle.

The Participant Portal supports activities funded mainly by the following EU programmes:

- 7th Framework Programme for Research and Technological Development (FP7)
- Competitiveness and Innovation Framework Programme (CIP)

Using the Participant Portal:

As a guest user, browse the public pages to:

- search for funding opportunities
- download guidance and legal documents
- search for the participant identification code (PIC) of an organisation
- contact the FP7 support services and browse the FAQ for guidance on the Participant Portal tools

As a registered user, benefit from personalised services for proposal submission, negotiation, and project management.

WHAT'S NEW **FUNDING OPPORTUNITIES** **HOW TO PARTICIPATE?** **WORK AS AN EXPERT** **ACCESS MY PERSONAL AREA** **INFORMATION AND SUPPORT**

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bit.ly/LinkedInIMI
- E-mail us
infodesk@imi.europa.eu



Your contact points

- Contact the **IMI Programme Office**
infodesk@imi.europa.eu
- Get in touch with your **local IMI contact point**
www.imi.europa.eu/content/states-representatives-groups
- Talk to your **IMI Health National Contact Point (NCP)**

**Thank you,
any question is welcome**